

**IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

DOW 30<sup>SM</sup> ENHANCED PREMIUM & INCOME  
FUND, et al.,

Plaintiffs,

v.

AMERICAN INTERNATIONAL GROUP, INC., et  
al.,

Defendants.

Case No. 13-CV-5612

Hon. James B. Zagel

Magistrate Judge Maria Valdez

**STIPULATION AND ORDER REGARDING TRANSFER**

JAMES B. ZAGEL, UNITED STATES DISTRICT JUDGE:

WHEREAS, Plaintiffs filed a complaint (the “Complaint”) in the above-captioned action (the “Action”) on August 6, 2013 in this Court;

WHEREAS, on October 25, 2013, this Court entered the Stipulation and Order Regarding Waiver of Service and Schedule (Docket No. 13) (the “Scheduling Order”);

WHEREAS, as permitted under the Scheduling Order, on December 23, 2013, Defendants filed their motion to transfer this Action to the United States District Court for the Southern District of New York (the “Southern District of New York”) pursuant to 28 U.S.C § 1404(a) (Docket Nos. 32-4) (the “Motion to Transfer”);

WHEREAS, pursuant to the Scheduling Order, Plaintiffs have until February 21, 2014 to file their opposition to the Motion to Transfer, and Defendants have until March 24, 2014 to file their reply to Plaintiffs’ opposition;

WHEREAS, the Parties have conferred and all Parties agree that (i) venue for this Action would be proper in the Southern District of New York, (ii) this Action is related to *In re American International Group, Inc. 2008 Securities Litigation*, No. 08-CV-4772, pending in the Southern District of New York, and (iii) transferring this Action to the Southern District of New York is convenient for the Parties and witnesses and is in the interest of justice;

NOW, THEREFORE, IT IS HEREBY ORDERED as follows:

**I. TRANSFER GRANTED**

A. The Motion to Transfer is granted and this Action is transferred to the Southern District of New York.

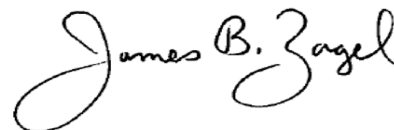
**II. ALL PENDING DATES STRICKEN**

A. All pending deadlines and hearings in this matter are stricken from the call.

B. Consistent with the foregoing, the Parties are not required to and will not file any further briefs in this Court regarding the Motion to Transfer.

**SO ORDERED:**

Dated: Chicago, Illinois  
February 19, 2014



James B. Zagel  
United States District Judge

**STIPULATED AND AGREED TO BY:**

AMERICAN INTERNATIONAL GROUP, INC.  
and DAVID L. HERZOG

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